


07-24-01 518 Rec'd PCT/PTO 923 JUL 2001

FORM PTO-1390		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		APPLICANT'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				4121-127	
				U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	
				097/889993	
INTERNATIONAL APPLICATION NO.		INTERNATIONAL FILING DATE		PRIORITY DATE CLAIMED	
PCT/DE00/00232		26 January 2000		28 January 1999	
TITLE OF INVENTION					
MAMMAL, METHOD FOR PRODUCING SAME AND ITS USE					
APPLICANT(S) FOR DO/EO/US					
Christine Schulze-Garg and Wolfgang Deppert					
Applicant hereunder submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Unsigned)</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>					
Items 11. to 16. below concern other document(s) or information included:					
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input checked="" type="checkbox"/> A small entity statement.</p> <p>17. <input type="checkbox"/> Other items or information: EPO Search Report in German, Sequence Listings, Computer readable disk with sequences</p>					

NOTE: This application is being filed with an unsigned Oath or Declaration under the provisions of 37 CFR § 1.53 in order that applicants may secure a filing date of July 10, 2001. Upon receipt of a "Notice to File Missing Parts - Filing Date Granted," a executed Declaration and Power of Attorney will be forwarded. The undersigned agent affirmatively states that she has been duly authorized and appointed to file this application on behalf of the applicants and applicants' assignees, and that the Declaration and Power of Attorney to be filed hereafter will confirm the undersigned agent's authorization and appointment. Applicants are considered a small entity and assignee Mice & More GmbH is also considered a small entity within the meaning of 37 CFR § 1.9.

17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.445(d)(1)-(5)): Search Report has been prepared by the EPO or JPO \$860.00				CALCULATIONS PTO USE ONLY	
International preliminary examination fee paid to USPTO (37 CFR 1.482) \$0.00 No International preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$0.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1000.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$0.00				09/889999 PCT/PTO 23 JUL 2001	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$	860.00
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	16-20 =	0	X \$18.00	\$	
Independent Claims	2-3 =	0	X \$80.00	\$	
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$	860.00
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	430.00
SUBTOTAL =				\$	430.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 Months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	430.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEE ENCLOSED =				\$	430.00
				Amount to be refunded	\$
				Charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$430.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-3284</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not yet been met, a petition to revive (37 CFR 1.127(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Steven J. Hultquist Intellectual Property/Technology Law P. O. Box 14329 Research Triangle Park, NC 27709				 MARIANNE FUIJERE Registration No. 39,983	

09/889993

PCT/PTO 23 JUL 2001

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Patent Application of:

Applicant: SCHULZE-GARG, et al.

Atty. Docket No.: 4121-127

Application No.: New U.S. National Stage Application of
PCT International Application
PCT/DE00/00232

23448

International Filing Date: 26 January 2000

PATENT TRADEMARK OFFICE

Priority Date Claimed: 28 January 199 (German Appl. No. 199 03 371.4)

Title: MAMMAL, METHOD FOR
PRODUCING SAME AND ITS USEEXPRESS MAIL CERTIFICATE

I hereby certify that I am mailing the attached documents to the
Commissioner for Patents on the date specified, in an envelope
addressed to the Commissioner for Patents, Washington, DC 20231,
and Express Mailed under the provisions of 37 CFR 1.10.

Lee Ann BrownJuly 23, 2001
DateE1831358554US
Express Mail Label Number

**SUBMISSION UNDER 35 U.S.C. §371 OF UNITED STATES PATENT
APPLICATION (NATIONAL PHASE PROCEEDINGS) BASED ON
INTERNATIONAL APPLICATION NO. PCT/DE00/00232 AND CLAIMING
PRIORITY OF GERMAN PATENT APPLICATION NO. 199 03 371.4**

Commissioner for Patents
Box PATENT APPLICATION
Washington, DC 20231

Sir:

Submitted herewith for filing under the provisions of 37 CFR 1.53 and 35 U.S.C. § 371 is the above-
referenced patent application, based on International Patent Application No. PCT/DE00/00232 and

claiming priority of German Patent Application No. 199 03 371.4. A copy of the PCT International Application and related documents as originally filed are included. An English translation of the application is included having 10 pages of specification, 1 page of sequences, 2 pages of claims, 2 pages of amended claims, 1 page of Abstract, 9 sheets of Figures. The International Preliminary Examination Report and Appendix are included, not translated into English. The EPO Search Report is included. Also included is a Preliminary Amendment, a disk containing the sequences listings, an unsigned Declaration and Power of Attorney, a check in the amount of \$430.00, fee transmittal form and a transmittal letter.

Please direct correspondence relating to this application to Steven J. Hultquist, Intellectual Property Technology Law, P.O. Box 14329, Research Triangle Park, NC 27709, and direct telephonic communications relating to this application to Marianne Fuierer at (919) 419-9350.

Respectfully submitted,



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Attorney for Applicants

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